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# Lower-Emission School Bus Program

— Volkswagen Settlement —  
July 2018

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# Background

VW entered into settlement with USDOJ in 2016 to resolve Clean Air Act violations due to the automaker's use of software to cheat emissions standards

Settlement established \$2.7 billion Environmental Mitigation Trust for states to use on activities to offset excessive emissions; Arizona's share is \$57 million

Provisions of the trust limit spending to replacing or repowering eligible large trucks, buses, and other vehicles with new diesel, alt fuel or all-electric engines

Governor Ducey has allocated \$38 million of the VW air quality mitigation funds to purchase school buses

# Anticipated Timeline

**October 2, 2017** – Trust effective date established

**November 2017** – State applies for beneficiary status

**January 30, 2018** – Beneficiary status approved

**June 7, 2018** – State submits the mitigation plan

**August of 2018** – Trustee approves/denies mitigation plan; state begins accepting applications. Deadline for applications is Sept. 28.

**November of 2018** - Awards made, funds available.

# School district eligibility

The funding will be allocated to school systems in which at least 60% of students were eligible for free or reduced-price lunch during the 2017/2018 school year to replace qualifying diesel school buses that are at least 15 years old and have over 100,000 miles.

The number of buses replaced will be limited to 10% of each districts' bus fleet, with a minimum of one bus per district, and is expected to total over 310 buses statewide.

## Estimated New School Buses by County



Apache:	8
Cochise:	9
Coconino:	6
Gila:	8
La Paz:	9
Maricopa:	85
Mohave:	21
Navajo:	12
Pima:	60
Pinal:	30
Yavapai:	18
Yuma:	15

**Total:** 281 buses

# Eligible Activities

## **Repower or Replace:**

Class 4-8 School Bus, Shuttle Bus, or Transit Bus (Eligible Buses)

Eligible Buses include those that are at least 15 years old and have over 100,000 miles.

Buses may be replaced by either diesel or alt-fuel (gasoline replacements are not eligible)

# Vehicle Disposition (Scrapping)

The Beneficiary Agreement defines Scrapped:

**Repower:** “Shall mean to render inoperable and available for recycle, and, at a minimum, to specifically cut a 3-inch hole in the engine block for all engines.”

**Replace:** “If any eligible vehicle will be replaced as part of an eligible project, Scrapped shall also include the disabling of the chassis by cutting the vehicle's frame rails completely in half.”

# Available Funding

Individual districts could receive either:

1. Up to \$110,000 per bus to purchase new diesel school buses; or
2. Up to \$135,000 per bus to purchase alternative fuel school buses.

\*\*Funding will be disbursed to the school district directly through an Intergovernmental Services Agreement and not to the vendor/supplier.\*\*

# Application Guide





## GOVERNOR DUCEY RELEASES \$38 MILLION PLAN FOR NEW SCHOOL BUSES

**August 13, 2018:** Environmental Mitigation School Bus Replacement Program is [now accepting applications online via eCivis](#). In June, Governor Doug Ducey released a [\\$38 million plan](#) to purchase more than 280 new school buses in low-income [communities across the state](#) utilizing settlement funds from the Volkswagen Environmental Mitigation Trust. See the [Governor's press release](#) and the school bus replacement information [website](#). Additional information below.

## VOLKSWAGEN SETTLEMENT

The State of Arizona is reaching out to inform the public of the recent Volkswagen (VW) Settlement. In two related settlements, German automaker Volkswagen AG (VW) has agreed to spend up to \$14.73 billion to settle allegations of cheating emissions tests and deceiving customers. The automaker will spend up to \$10.03 billion to compensate consumers under the program, and will spend \$4.7 billion to mitigate the pollution from these cars and invest in clean vehicle technology.

### VW ZERO EMISSION VEHICLE INVESTMENT COMMITMENT AND OUTREACH PLAN:

Of that \$4.7 billion in settlement funds, \$1.2 billion were set aside for investment in Zero Emission Vehicle (ZEV) projects nationally over a ten (10) year period. Eligible ZEV investments will include: the design, planning, construction, installation, operation and maintenance of ZEV infrastructure; the development of brand-neutral education and public outreach campaigns; and programs to increase public exposure or access to ZEVs.

### VW ENVIRONMENTAL MITIGATION TRUST FUND:

Over the next ten (10) years, the State of Arizona will receive nearly \$57 million dollars in settlement funds for projects that reduce nitrogen oxide (NOx) emissions in areas of the state significantly affected by diesel emissions. The State of Arizona was required to develop and submit a mitigation plan to the settlement Trustee that summarized how the state plans to use the mitigation funds. Eligible mitigation actions include replacing or repowering eligible large trucks, buses, freight switchers, ferries/tugs, medium trucks and other vehicles with new diesel or alternative fuel engines, or all-electric engines. Beneficiaries may also use up to 15 percent of their allocation of trust funds on acquiring, installing, operating and maintaining new light duty zero emission vehicle supply equipment. The state submitted its [Beneficiary Mitigation Plan](#) to the Trustee on June 8, 2018. Click [here](#) to access a simulated budgeting application that demonstrates scenarios for balancing the allotted trust funding and the environmental impact of the mitigation effort.

**Volkswagen Diesel Emissions Environmental Mitigation Trust** - <https://www.vwenvironmentalmitigationtrust.com>

### ARIZONA ATTORNEY GENERAL CONSUMER FRAUD SETTLEMENT:

The Attorney General's Office negotiated a \$40 million settlement with Volkswagen to resolve consumer complaints. Under the settlement, VW agrees to pay Arizona consumers up to \$1,000 for every qualifying vehicle. This settlement is managed through the Attorney General's Office. For more information, please refer to the [Arizona Attorney General's VW Consumer Settlement website](#) or call the claims administrator at 1-866-507-8035.



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[ANTICIPATED TIMELINE](#)

[RESOURCES](#)

[DOCUMENTS AND COMMUNICATION](#)

[SCHOOL BUS REPLACEMENT](#)

Notice of Funding Availability: [VW Environmental Mitigation Trust Funds for Arizona's Lower-Emission School Bus Program](#)

[School Bus Funding Agreement Template](#)

[Fleet/vehicle tracking spreadsheet](#)

[Program Education and Training Opportunities \(including eCivis grants training\)](#)

[ASBA Presentation \(July 10, 2018\)](#)

[ASBA Webinar link \(July 10, 2018\)](#)

[Frequently Asked Questions \(FAQs\) \(updated Aug. 13, 2018\)](#)



# Notice of Funding Availability (NOFA)

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Secure | <https://s3.amazonaws.com/files.ecivis.com/srm/5b/5b5ac141bf8889de4bec71fb55abbfe3fd4c7028?AWSAccessKeyId=AKIAJFWID7JUEQDYFYHQ&Expires=1534355766&Signature=%2BZ%...>

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## NOTICE OF AVAILABILITY OF VW ENVIRONMENTAL MITIGATION TRUST FUNDS FOR ARIZONA'S LOWER-EMISSION SCHOOL BUS PROGRAM

### State Goal of Reducing NOx Emissions

The State of Arizona is strongly encouraging public school systems to scrap and replace old and high pollutant emitting school buses with modern, cleaner diesel or alt-fuel varieties. To help support school systems with this endeavor, Governor Ducey has authorized the utilization of funding allocated from the Volkswagen Diesel Emissions Environmental Mitigation Trust (VW Trust) to fund the Lower-Emission School Bus Program.

The objectives of this program are to:

1. Focus on funding projects that replace older diesel-fueled vehicles and engines.
2. Focus on vehicles, engines, and equipment operating or located in or near areas that bear a disproportionate share of the air pollution burden (priority areas), such as schools and environmental justice areas.
3. Prioritize projects located in or near areas that are in nonattainment of National Ambient Air Quality Standards (NAAQS) for ozone, particulate matter (PM), or nitrogen dioxide (NO<sub>2</sub>).
4. Focus on projects located in areas with high population density and high traffic density. In Arizona, areas of high population density are often the areas with the poorest air quality.

### Availability of Funds

The amount available is \$20,000,000 and up to \$37,000,000, contingent upon availability of Funds.

### Eligibility Requirements

Funding will be allocated to public school systems (both school districts and charter schools) that meet the following eligibility requirements:

1. At least 60% of students were eligible for free or reduced price lunch during the 2017/2018 school year.
2. Diesel school buses proposed for replacement must be owned by the school system, at least 15 years old, and have over 100,000 miles.

School systems meeting all eligibility requirements will initially receive an allocation for at least one replacement bus. After which, the remaining funds will be distributed across the eligible districts in efforts to attain up to 10% bus fleet replacement for the participating districts.

Under the requirements of the VW Trust, a "school bus" is defined as a Class 4-8 bus sold or introduced into interstate commerce for purposes that include carrying students to and from school or related events. May be Type A-D.

Secure | https://vwsettlement.az.gov


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# Volkswagen Settlement

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## GOVERNOR DUCEY RELEASES \$38 MILLION PLAN FOR NEW SCHOOL BUSES

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## Arizona Sub Recipient Portal

### Arizona's Lower-Emission School Bus Program

Apply



Overview Eligibility Financial Contact Files

ID:	N/A
Title:	Arizona's Lower-Emission School Bus Program
Application Start Date:	08/08/2018
Application End Date:	09/28/2018
CFDA:	N/A
Reference URL:	

#### Summary:

Governor Ducey has authorized the utilization of State Trust Funds allocated from the Volkswagen Diesel Emissions Environmental Mitigation Trust to fund the Lower-Emission School Bus Program. The purpose of this program is to offset the impact of excess nitrogen oxide (NOx) emissions associated with the affected Volkswagen vehicles registered within the state. Allocating \$37 million dollars of the settlement to replace Class 4-8 school buses in Title 1 School Systems across the state. The allocation of these funds to these replacement project will reduce NOx emissions and will support Arizona's goal of protecting our environment and the health of our citizens.

The funding will be allocated to eligible school systems (both traditional and charter) in which at least 60% of students were eligible for free or reduced-price lunch during the 2017/2018 school year in order to replace qualifying diesel school buses that are at least 15 years old and have over 100,000 miles. The number of buses replaced will be limited to up to 10% of each districts' bus fleet, with a minimum of one bus per district, and is expected to total over 310 buses statewide. Funds will be distributed to ensure each eligible district receives an allocation for at least one bus if all eligibility requirements are met. After which, the remaining funds will be distributed across the eligible districts in efforts to attain up to 10% bus fleet replacement for the participating districts.

The objectives of this program are to:

1. Focus on funding projects that replace older diesel-fueled vehicles and engines.
2. Focus on vehicles, engines, and equipment operating or located in or near areas that bear a disproportionate share of the air pollution burden (priority areas), such as schools and environmental justice areas.

# Overview

Overview	Eligibility	Financial	Contact	Files
<b>ID:</b>	N/A			
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# Eligibility

Overview

Eligibility

Financial

Contact

Files

## Eligible Applicants:

- Schools/School Districts

## Eligibility Notes:

Funding will be allocated to public school systems (both school districts and charter schools) meeting two eligibility requirements:

1. At least 60% of students were eligible for free or reduced price lunch during the 2017/2018 school year
2. Diesel school buses proposed for replacement must be owned by the school system, at least 15 years old, and have over 100,000 miles.

School systems meeting all eligibility requirements will receive an allocation for at least one replacement bus. After which, the remaining funds will be distributed across the eligible districts in efforts to attain up to 10% bus fleet replacement for the participating districts.

Per the VW Trust, "School Bus" shall mean a Class 4-8 bus sold or introduced into interstate commerce for purposes that include carrying students to and from school or related events. May be Type A-D.





# Contact

Overview

Eligibility

Financial

Contact

Files

**Agency/Department:** ADA - Office of Grants and Federal Resources

**Office:** N/A

**Program Contact:** Sarah Sanchez  
Program Manager | Grants and Federal Resources  
Arizona Department of Administration  
100 N. 15th Avenue, Suite 305  
Phoenix, AZ 85007  
Office Phone: 602-542-0424  
Email: [gfr@azdoa.gov](mailto:gfr@azdoa.gov)

**Application Address:** Arizona Department of Administration  
Office of Grants and Federal Resources  
100 N. 15th Avenue, Suite 305  
Phoenix, AZ 85007

## Contact Notes:

For additional program guidance and instructions please refer to Arizona's Volkswagen Settlement page at: <http://vwsettlement.az.gov/>.

# Files

Overview

Eligibility





Financial

Contact

Files

## Files:

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- NOFA:**  NOTICE OF AVAILABILITY OF LOWER EMISSION SCHOOL BU... (100.0 Kb)
- Guide:**  3. Subrecipient Manual (403.5 Kb)
- Draft Funding Agreement:**  Funding Agreement Template\_VW Buses (136.0 Kb)
- Training Opportunities:**  Training\_Opportunities (15.1 Kb)

## File Notes:

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Please reference the attached files for additional information.

## Arizona Sub Recipient Portal





### Arizona's Lower-Emission School Bus Program



✓ Apply

- Overview
- Eligibility
- Financial
- Contact
- Files

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(Minimum 8 chars, alphanumeric with symbol(s))

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or



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eCivis Grants Network user?

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Application Submission

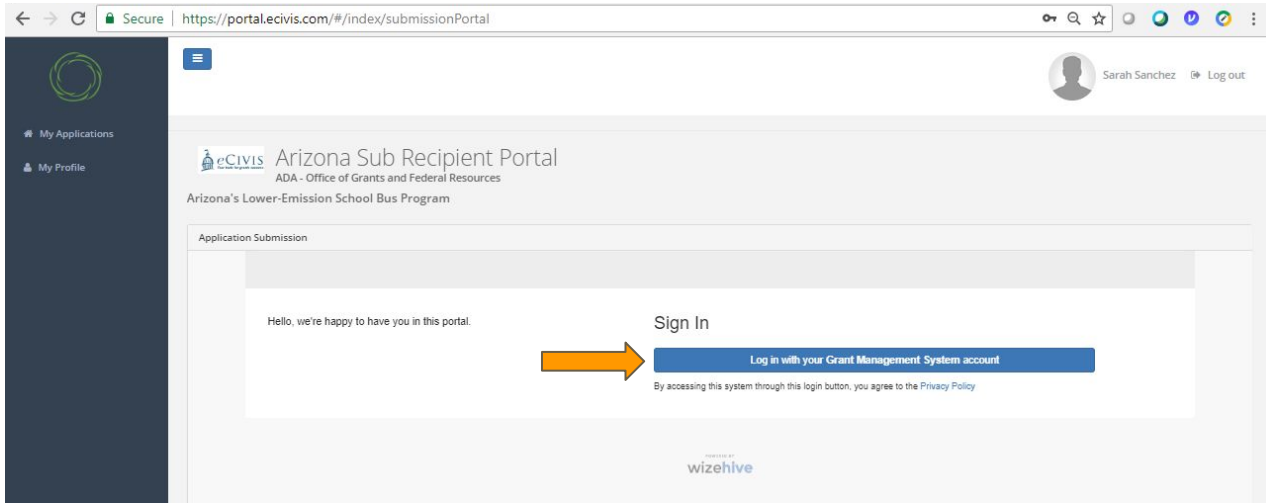
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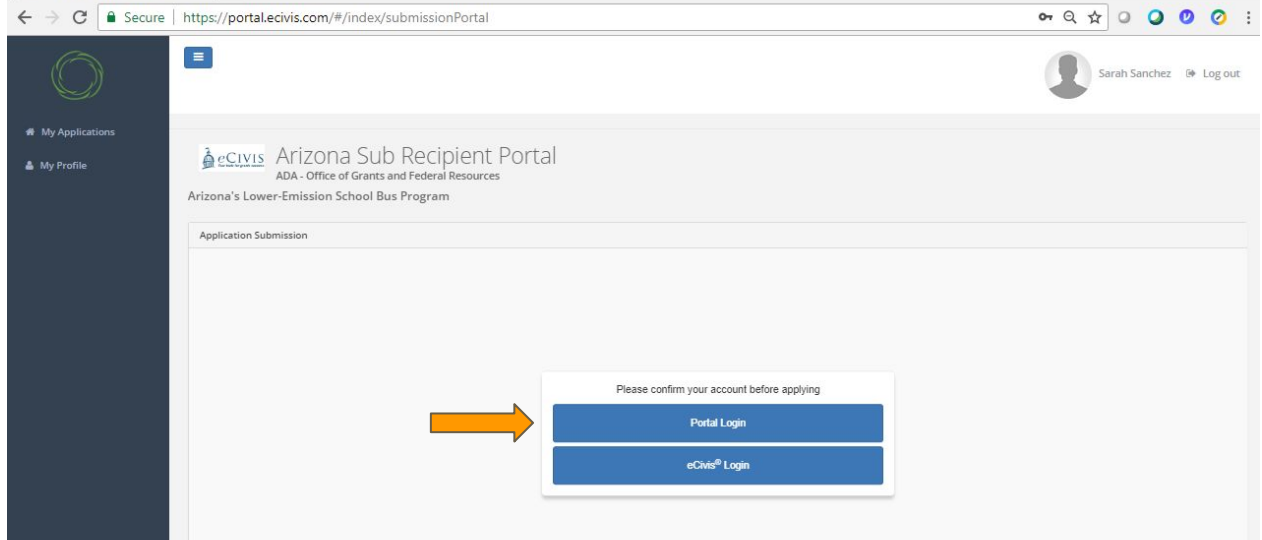
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# Create a Profile



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Arizona's Lower-Emission School Bus Program

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Homepage

Profile

Profile

 In Progress



# Profile Input

- Applicant Information
- Organization Information
  - Authorized Representative
  - Business/Finance Representative
- Organization Address

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Application Submission

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[Homepage](#) > [Profile Input](#)

### Applicant Information

Tell us about you.

First name \*

Last name \*

Email \*

Title

Company

Company Website

City

State

[Save Draft](#) [Save](#)

Success!

Your profile is now complete.  
Your next step is to start your entry.



OK



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Application Submission

Profile

Profile ✔ Complete  

TEST

Created on 08/13/2018

First Stage





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Application Submission

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First Stage	
Applications	<span>In Progress</span>  
AZ Standard Budget	<span>Not Started</span> <span>Start Now &gt;</span>

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# Application/ Project Title

## "DistrictXXFY19-4 Buses"

The screenshot shows a web application interface for submitting an application. At the top left is the eCIVIS logo with the tagline 'Now built for greater success'. To its right is the text 'Arizona Sub Recipient Portal' and 'ADA - Office of Grants and Federal Resources'. Below this is the program name 'Arizona's Lower-Emission School Bus Program'. The main content area is titled 'Application Submission'. In the top right corner of this area, there is a user greeting 'Welcome, sarah.sanchez@azdoa.gov' and a 'Logout' button. A breadcrumb trail reads 'Homepage > Untitled > Applications Input'. The main form contains two sections: '1. Application/Project Title \*' with an empty text input field, and '2. Program/Project Legislative District (check all that apply) \*'. Below the second section is a link to 'https://azredistricting.org/districtlocator/' and a list of checkboxes numbered 1 through 6.

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Arizona's Lower-Emission School Bus Program

Application Submission

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Homepage > Untitled > Applications Input

**1. Application/Project Title \***

Project title must include the following information: school system name, state fiscal year of bus replacement, and number of buses being replaced. For example "DistrictXXFY19-4 Buses"

**2. Program/Project Legislative District (check all that apply) \***

Locate your legislative district here:  
<https://azredistricting.org/districtlocator/>

- 1
- 2
- 3
- 4
- 5
- 6

# Scope of Work

Provide details on procurement process, proposed supplier, buses to be replaced, types of buses to be procured, and scrapping strategy



## Arizona Sub Recipient Portal

ADA - Office of Grants and Federal Resources

Arizona's Lower-Emission School Bus Program

### Application Submission


- 27
- 28
- 29
- 30
- All districts

#### 3. Scope of Work \*

Provide details on procurement process, proposed supplier, buses to be replaced, types of buses to be procured, and scrapping strategy.

# Project Timeline/Sustainability Plan

- Procurement timeline for fiscal year 2019 and 2020
- Bus fleet strategy and anticipated life cycle of the new bus(es) to be acquired

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Arizona's Lower-Emission School Bus Program

Application Submission

**4. Project Timeline \***


Provide a detailed procurement timeline, including when reimbursement/funding will be needed and if the transaction will occur during state fiscal year 2019 (7/1/18 - 6/30/19) and/or state fiscal year 2020 (7/1/19 - 6/30/20).

**5. Sustainability Plan \***

Include an overview of your bus fleet strategy and anticipated life cycle of the new bus(es) to be acquired.

# Program Specific Criteria #1

Provide information validating that your school system met the 60% free or reduced price lunch criteria for the 2017/2018 school year

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Application Submission

**6. Program Specific Criteria #1 \***

Provide information validating that your school system met the 60% free or reduced price lunch criteria for the 2017/2018 school year.


**File Upload**

Upload necessary documentation to validate that your school system met the 60% free or reduced price lunch criteria for the 2017/2018 school year.


# Program Specific Criteria #2

Provide information on your school system's most recent bus inventory report

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Arizona's Lower-Emission School Bus Program

Application Submission



**7. Program Specific Criteria #2 \***




Upload a copy of your school system's most recent bus inventory report highlighting the buses that meet the eligibility requirements for replacement.

**File Upload**

If not provided in the "selection criteria 2" section please upload a copy of your school system's most recent bus inventory report or the completed report template.





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## Application Submission

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### First Stage

Applications

In Progress



AZ Standard Budget

Not Started



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## Application Submission

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[Homepage](#) > [Untitled](#) > AZ Standard Budget Listing

You need to have a minimum of 1 item in this list in order to submit your entry.

[Export .CSV](#)

[+ Add New Item](#)

There are no items in this list.


It's lonely here! [Add some items.](#)



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# Budget- Equipment Request

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Arizona's Lower-Emission School Bus Program

Application Submission

## Equipment


**Equipment Request \***

\$

The equipment request section of the application should include the total amount of funding being requested.

**Equipment Request Narrative Justification**

Detail the total amount of the procurement, including any local funds. If scrap revenue is not included in the new bus procurement, please include a statement about the disposition of these funds.

[Delete](#) | [Save Draft](#) [Save](#) 

 Arizona Sub Recipient Portal  
ADA - Office of Grants and Federal Resources  
Arizona's Lower-Emission School Bus Program

Application Submission

Welcome, sarah.sanchez@azdoa.gov [Logout](#)

[Homepage](#) > [TEST](#) > AZ Standard Budget Listing


You need to have a minimum of 1 item in this list in order to submit your entry.

[Export .CSV](#) [+ Add New Item](#)

Name	Status	
7259708	Complete	<a href="#">View</a> <a href="#">Edit</a>





# Submit your application


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Application Submission


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**First Stage**

Applications ✔ Complete  

AZ Standard Budget ✔ Complete 



First Stage Submission 


 Arizona Sub Recipient Portal  
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Application Submission

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**First Stage**

Applications  

AZ Standard Budget 

First Stage Submission

# We are here to help!

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